# The influence of airmass histories on radical species during POLARIS

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Abstract. The Goddard trajectory chemistry model was used with ER-2 aircraft data to test our current knowledge of radical photochemistry during the POLARIS (Polar Ozone Loss in the Arctic Region In Summer) campaign. The results of the trajectory chemistry model with and without trajectories are used to identify cases where steady state does not accurately describe the measurements. Over the entire mission, using trajectory chemistry reduces the variability in the modeled NO<sub>x</sub> comparisons to data by 25% with respect to the same model simulating steady state. Although the variability is reduced, NO<sub>x</sub>/NO<sub>y</sub> trajectory model results were found to be systematically low relative to the observations by 20-30% as seen in previous studies. Using new rate constants for reactions important in NOy partitioning improves the agreement of NO<sub>x</sub>/NO<sub>y</sub> with the observations but a 5-10% bias still exists. OH and HO<sub>2</sub> individually are underpredicted by 15% of the standard steady state model and worsen with the new rate constants. Trajectory chemistry model results of OH/HO<sub>2</sub> were systematically low by 10-20% but improve using the new rates constants because of the explicit dependence on NO. This suggests that our understanding of NO, is accurate to the 20% level and  $HO_x$  chemistry is accurate to the 30% level in the lower stratosphere or better for the POLARIS regime. The behavior of the NO<sub>x</sub> and HO<sub>x</sub> comparisons to data using steady state versus trajectory chemistry and with updated rate coefficients is discussed in terms of known chemical mechanisms and lifetimes.

#### Introduction

Ozone abundances outside the tropical latitudes show a distinct seasonal cycle. In the northern high latitude stratosphere, column ozone abundances decrease from a maximum in late spring to a minimum in early fall [Newman et al., 1997]. To predict trends in ozone and responses of the atmosphere to perturbations such as emissions of a proposed fleet of supersonic transport aircraft [Stolarski et al., 1995], we must understand the processes driving the high latitude seasonal cycle in ozone concentrations.

During the summer months, transport of high ozone mixing ratios from low latitudes and high altitudes to the high latitude lower stratosphere is small [Wu, 1987]. Ozone production by photolysis of O<sub>2</sub> is also small relative to tropical latitudes [Johnston, 1975]. With summertime stratospheric temperatures being well above PSC thresholds [Rosenlof, 1996], ozone loss due to chlorine activation on polar stratospheric clouds is unlikely.

The seasonal loss in the northern high latitudes between late spring and fall is generally attributed to in situ photochemical reactions [Perliski, 1989]. The main chemical loss cycles are due to NO<sub>x</sub> (NO and NO<sub>2</sub>), HO<sub>x</sub> (OH and HO<sub>2</sub>) with minor contributions from the chlorine and bromine catalytic cycles (in conditions where aerosol is not enhanced by recent volcanic activity). Catalytic loss cycles begin with reactions of NO, OH and halogen atoms with ozone, followed by the loss of an additional odd oxygen (O<sub>3</sub> and O) and reformation of NO, OH, Cl, or Br:

$$NO + O_3 -> NO_2 + O_3$$
 (R1)

$$\frac{NO_2 + O -> NO + O_2}{O_3 + O -> O_2 + O_2}$$
 (R2)

$$OH + O_3 -> HO_2 + O_2$$
 (R3)

$$\frac{HO_2 + O_3 -> OH + 2O_2}{O_3 + O_3 -> 3O_2}$$
 (R4)

$$X + O_3 -> XO + O_2$$
 (R5)  
 $XO + O -> X + O_2$  (R6)  
 $O + O_3 -> O_2 + O_2$ .

where X = Cl or Br. The  $HO_x$  cycle is coupled to  $NO_x$  and halogens by the following reactions:

$$HO_2 + NO \rightarrow NO_2 + OH$$
 (R7)  
 $HO_2 + XO \rightarrow HOX + O_2$  (R8a, b)  
 $HOX + hv \rightarrow OH + X$  (R9).

HO<sub>x</sub> partitioning also depends on CO

$$OH + CO -> CO_2 + H$$
 (R10)  
 $H + O_2 + M -> HO_2 + M$  (R11).

In situ photochemical loss in summertime is primarily due to the  $NO_x$  catalytic cycle [Perliski, 1989]. Figure 1 shows the interrelationship of the  $NO_x$  species,  $NO_y$  reservoirs, and reaction pathways between them. During the spring and summer, increased solar illumination prevents the formation of  $N_2O_5$  from  $NO_2 + NO_3$  by rapidly photolyzing  $NO_3$ , resulting in higher abundances of  $NO_2$  and  $NO_2$ . Figure 1 also shows that species from the other catalytic cycles such as OH and ClO are interrelated with the  $NO_x$  cycle. Understanding the reactions and pathways of the  $NO_x$  cycle is important not only for assessing ozone loss due to  $NO_x$  but also the influence of  $NO_x$  on the  $HO_x$  and  $ClO_x$  catalytic loss cycles.

The POLARIS campaign provides the first ER-2 opportunity to test the photochemistry associated with high latitude ozone loss between spring and fall. At high latitudes in the summer, airmasses experience continuous or near continuous sunlight. Under these conditions, radical species with short lifetimes such as NO<sub>x</sub>, HO<sub>x</sub> and ClO<sub>x</sub> are often assumed to be in steady state. Steady state model calculations have been used in previous ER-2 campaigns to model the diurnal variation of radical species [Salawitch et al.,1994a, b] during the 1993 NASA Stratospheric Photochemistry, Aerosols, and Dynamics Expedition (SPADE) and in the investigation of NO<sub>y</sub> partitioning [Gao et al., 1997] during 1994 NASA Airborne Southern Hemisphere Ozone Experiment/Measurements for Assessing the Effects of Stratospheric Aircraft (ASHOE/MAESA). However, the data in some cases is

not accurately described by steady state conditions. Kawa et al. [1993] found that for one high-latitude case, a photochemical model that accounts for latitude and temperature changes 10 days prior to sampling was needed to provide better agreement with observations. In this paper we show how the Goddard trajectory chemistry model can be used to identify POLARIS special cases, put them on a consistent basis with the steady state cases, and in doing so help to separate out systematic biases linked to model chemical mechanisms or rates. Our findings show that use of the trajectory chemistry model reduces the variability of calculated NO<sub>x</sub> relative to the steady state model. As a result of the interrelationship between NO<sub>x</sub> and HO<sub>x</sub>, HO<sub>x</sub> variability is reduced also. Trajectory chemistry model results of NO<sub>x</sub> are found to be systematically low with respect to the observations using rates from the JPL-97 compendium, consistent with the deficit seen in Gao et al. [1999]. Because the NO<sub>x</sub> and HO<sub>x</sub> cycles are linked, the NO<sub>x</sub> bias must be accounted for before systematic offsets in the HO<sub>x</sub> model results can be assessed. Use of the new rate constants of Brown et al. [1999a, b] improves modeled NO<sub>x</sub> with observations but agreement of OH and HO<sub>2</sub> individually with observations worsens slightly.

#### **Model Description**

The chemistry on trajectory model consists of two parts, a trajectory module [Schoeberl et al., 1993] and a chemistry module [Kawa, et al., 1997]. Using the Goddard assimilated meteorological fields [Algorithm and Theoretical Basis Documents of the Data Assimilation Office, 1997], air parcels intersecting the ER-2 flight path every 432 seconds (~86 km) are advected backwards 10 days. Latitude, longitude, temperature, pressure and solar zenith angle are calculated at 15 minute time steps along the isentropic back trajectory.

The chemistry portion of the model includes 30 species and 120 reactions with reaction rates from the JPL-97-4 compendium [DeMore et al., 1997]. The chemical mechanism is designed for stratospheric conditions. Constituent mixing ratios of long-lived species NO<sub>y</sub>, H<sub>2</sub>O, CH<sub>4</sub>, O<sub>3</sub> and CO

are initialized from ER-2 measurements [Gao et al., 1997; Hintsa et al., 1998; Webster et al., 1994; Proffitt et al., 1989]. Cl<sub>y</sub> and Br<sub>y</sub> were estimated from measured N<sub>2</sub>O and CFCl<sub>3</sub> and the correlations of Woodbridge et al. [1995] and Wamsley et al. [1998]. Initial Cl<sub>y</sub> partitioning is set by measured HCl with the balance in ClONO<sub>2</sub>. Initial ClO concentrations are set to zero. Initial NO<sub>y</sub> partitioning is set by measured NO<sub>x</sub>, ClONO<sub>2</sub> from Cl<sub>y</sub>, small fractions in N<sub>2</sub>O<sub>5</sub> (0.5% of the NOy-ClONO<sub>2</sub>-NO<sub>x</sub>) and HO<sub>2</sub>NO<sub>2</sub> (3% of NO<sub>y</sub>-ClONO<sub>2</sub>-NO<sub>x</sub>-0.1\*Br<sub>y</sub>-N<sub>2</sub>O<sub>5</sub>) and the remainder in HNO<sub>3</sub>. Initial CH<sub>3</sub>OOH is from the Goddard 3D CTM interpolated to the trajectory starting point's latitude and longitude coordinates and H<sub>2</sub>O<sub>2</sub> is determined from a fit to measured O<sub>3</sub>. Other species are initially zero or partitioned in instantaneous steady state.

Heterogeneous chemistry parameters are established assuming measured particles are composed of a ternary solution of sulfate, nitrate and water [Kawa et al., 1997]. The parameters are used primarily for the N<sub>2</sub>O<sub>5</sub> and BrONO<sub>2</sub> hydrolysis reactions whose reaction sticking coefficients are 0.1 and 0.4, respectively, for this analysis. For POLARIS conditions the HNO<sub>3</sub> content of the particles is negligible. H<sub>2</sub>SO<sub>4</sub> mixing ratio is obtained from the Focused Cavity Aerosol Spectrometer FCAS [Jonsson et al., 1995] volume by assuming vapor pressure equilibrium [Carslaw et al., 1995]. HNO<sub>3</sub> varies with the chemistry along the back trajectory while H<sub>2</sub>SO<sub>4</sub>, measured aerosol number, and H<sub>2</sub>O mixing ratios are assumed to be conserved along the back trajectory. Temperature variations along the trajectory produce composition changes leading to a change in the total particle volume. Reactive surface area is calculated from measured particle number and calculated particle volume.

Photolysis rates are obtained from a table look-up based on albedo, overhead ozone, solar zenith angle, pressure and temperature. The tabulated calculations are from the radiative transfer model of Anderson and Lloyd [1990] and Anderson et al. [1995]. Albedo is determined along the back trajectory by interpolating total ozone mapping spectrometer (TOMS) reflectivities to the trajectory's latitude /longitude coordinates. Overhead ozone is determined by scaling climatological

profiles and TOMS data along the trajectories to match overhead ozone from the Composition and Photodissociative Flux Measurement (CPFM) instrument [McElroy, 1995] at the flight track.

The model can be also run fixed in latitude and longitude at the point where the trajectory intersects the flight path. Models run at a fixed point are initialized in the same manner using flight data and the diurnal chemistry proceeds at that point for 20 days. Running the model in this mode is considered to approach steady state as constituents change less than 5% over the last ten days of the model run. We designate the different modes as trajectory chemistry and point chemistry in the discussion of results.

# Comparison with PSS model

The photochemical stationary state (PSS) model used in previous ER-2 aircraft campaigns and in POLARIS assumes that each species reaches a balance between production and loss over 24 hours for the temperature, pressure and latitude of a selected point along the flight track integrated over a diurnal cycle [Salawitch, 1994a]. Measured NO<sub>y</sub>, O<sub>3</sub>, H<sub>2</sub>O, and CH<sub>4</sub> are used to initialize the model, and Cl<sub>y</sub> and Br<sub>y</sub> are inferred from relationships with CFC and brominated source gases [Woodbridge et al., 1995; Schauffler et al., 1993]. There are 35 reactive species and 200 chemical reactions with rate constants and cross sections based on the JPL-97 compendium. Photolysis rates are calculated using a radiative transfer model that accounts for Rayleigh and aerosol scattering [Prather, 1981; Salawitch et al., 1994a]. Total column ozone and ultraviolet albedo are specified from observations of TOMS or CPFM measurements when available.

Figure 2a is a timeseries plot of NO, NO<sub>2</sub> and NO<sub>x</sub>/NO<sub>y</sub> observations and model results using JPL-97 rates from the point chemistry model and PSS for the POLARIS flight on July 7, 1997. Steady state conditions were dominant since solar zenith angles were between 55 and 70° and back trajectories show air parcels experience continual sunlight, indicative of high solar exposure and short

 $NO_x$  lifetimes (< 1-2 days). The timeseries of NO,  $NO_2$  and  $NO_x/NO_y$  shows that the trajectory chemistry model run in the point chemistry mode agrees well with the PSS model results. Point chemistry model results of  $NO_x$  agree within 5% of the PSS results. The 5% underestimate of  $NO_x$  in the point chemistry model is due to the underestimate of  $NO_2$ . These small differences are traceable to small differences in the albedo and photolysis rates but agreement between both models shows that both models calculate a similar photochemical steady state over a wide latitude range (5-90°N).

Figure 2b is a timeseries plot of OH, HO<sub>2</sub> and OH/HO<sub>2</sub> observations and model results similar to figure 2 for July 7, 1997. The first two panels show that HO<sub>x</sub> in the point chemistry model agrees with the PSS model results to within 15%. Although not shown here, this HO<sub>x</sub> point chemistry agreement with PSS mode results is consistent for all the POLARIS. HO<sub>x</sub> overall, however, is underestimated relative to the observations as seen in the third panel. It is important to recognize that because of the interrelationship between NO<sub>x</sub> and HO<sub>x</sub> cycles, the bias seen in NO<sub>x</sub> influences HO<sub>x</sub> and is addressed later.

We extend the analysis between point chemistry and PSS to include flights over the entire mission. Figure 3a shows the scatter plot comparison of modeled NO<sub>x</sub> /NO<sub>y</sub> using JPL-97 rates from the point chemistry model and PSS. The flights of 970630 and 970710 were not included since they had very few trajectory points above 90 hPa to analyze (< 5). The NO<sub>x</sub> /NO<sub>y</sub> ratio in the point chemistry model compares to within 3% of the PSS model with a slope and correlation coefficient near 1.0. The point chemistry model can now be used as a reference to steady state to begin examining cases where point chemistry/steady state does not accurately describe observations during POLARIS by comparing results with the trajectory model results.

Figure 3b compares modeled NO<sub>x</sub>/NO<sub>y</sub> using trajectory chemistry to point chemistry. In general, trajectory chemistry model results agree with or lie above the 1:1 line. However, portions of the flights of April 24 (blue diamonds), April 26 (green triangles), September 8 (green pluses) and

September 21, 1997 (yellow triangles) lie more than 20% off of the 1:1 line. These flights represent cases where point chemistry does not accurately describe the NO<sub>x</sub> observations.

# NO<sub>x</sub> Example flight

The flight of April 26, 1997 during the spring deployment presents an interesting case study for use of trajectory chemistry. The ER-2 flew out of Fairbanks (65°N) to the pole and back with a profile at the pole. Solar zenith angles during the flight were between 55 and 75 degrees and the aircraft sampled the edge of the persistent winter vortex as seen in reduced amounts of CH<sub>4</sub>, N<sub>2</sub>O and CO [Webster et al., 1994]. Figure 4 shows the NO, NO<sub>2</sub> and NO<sub>x</sub>/NO<sub>y</sub> timeseries for the trajectory and point chemistry model results using JPL-97 rates and observations. The latitudes sampled during the flight are shown on the top axis. At first glance, it appears that the observations are in steady state as all three panels show that the point chemistry model is in reasonable agreement with the observations between 64000 and 80000 UT seconds. The trajectory chemistry model does not agree well with the observations, underestimating NO<sub>x</sub>. Figure 5 shows 10-day back trajectories of the parcels intersecting the flights path's on the outbound leg of the flight to the pole. Air parcels experienced a strong southerly advection resulting in a large latitudinal excursion over the last few days prior to ER-2 sampling. In such a situation, it is quite likely that the parcels are not in photochemical steady state.

Figure 6 shows the solar zenith angle, NO<sub>x</sub>, and HNO<sub>3</sub> histories in the point and trajectory chemistry models for the ten days prior to sampling of parcel 26, marked with an arrow in Figure 4 as P26. In the trajectory model, the air parcel spends time in darkness (SZA > 95) while in the point chemistry model it does not experience darkness at all. The trajectory chemistry model accounts for latitude variations along the back trajectory while the point chemistry model holds the point fixed with no latitudinal variation. The longer time in darkness along the back trajectory results in less photolysis

of HNO<sub>3</sub>, more formation of N<sub>2</sub>O<sub>5</sub>, and consequently less NO<sub>x</sub>.

For the flight of April 26, 1997, the steady state/point chemistry model agrees well with measured NO<sub>x</sub> but for the wrong reason. The difference between the trajectory chemistry model results with observations is a better indicator of the systematic difference between model and actual photochemistry than the point chemistry model, even though the point chemistry model is in closer agreement. Air parcels experienced changes in the solar exposure on time scales much shorter than the time scale for the photochemistry to relax to steady state. Previous steady state modeling results by Gao et al. [1997, 1999] have shown the PSS model to underpredict NO<sub>x</sub>/NO<sub>y</sub> by 20-30% similar to the comparison with the trajectory chemistry in Figure 4. Comparison of NO<sub>x</sub>/NO<sub>y</sub> from the chemistry model with all of the POLARIS observations (below) shows that NO<sub>x</sub>/NO<sub>y</sub> is consistently underestimated by a similar amount.

# Variability studies

The trajectory chemistry model complements and improves use of the steady state assumption in modeling aircraft data by identifying flights where trajectories influence photochemistry. In these flights, the steady state assumption may not be adequate in modeling those regions. In figure 7, we plot the comparison of the trajectory and point chemistry model results using JPL-97 rates versus all mission observations for  $NO_x/NO_y$ . The solid black line represents the one-to-one line and the solid red line denotes a linear least squares fit to the data. The trajectory and point chemistry model results display similar 20-30% deficiency in  $NO_x$  relative to the observations indicating that there is a systematic bias associated with the model photochemistry. A closer look at the plots shows that the variability of the trajectory chemistry  $NO_x/NO_y$  results about the fit line is less than the variability of the point chemistry model results by ~30% as seen in the differences in the standard deviations about the fit line (0.019 vs 0.013). Since  $NO_x$  has a photochemical lifetime of ~ 1 week, it is still adjusting

to changes in photolysis caused by changing latitude. This source of variance in the model-data comparisons is removed using the trajectory chemistry. The analysis shows that, while POLARIS NO<sub>x</sub> /NO<sub>y</sub> data as a whole may be reasonably well-characterized by steady state, individual cases can vary by 25% or more. By reducing the variability, we are able to better diagnose systematic chemical relationships and assess our understanding of the photochemical processes associated with ozone loss.

#### Model calculations with new rates

We have seen that trajectory chemistry reduces the  $NO_x$  variability but exhibits a systematic bias in the photochemistry. During POLARIS, the increasing solar illumination and warmer temperatures prevent  $N_2O_5$  formation and  $N_2O_5$  hydrolysis from controlling the  $NO_x$  /NO<sub>y</sub> partitioning [Farman et al., 1985]. Under these conditions, the  $NO_x$  /NOy ratio is controlled primarily by the following reactions:

$$NO_2 + OH + M -> HNO_3 + M (R11)$$

$$OH + HNO_3 -> NO_3 + H_2O$$
 (R12)

$$HNO_3 + hv -> OH + NO_2$$
 (R13).

Recently, Brown et al. [1999a, b] reported rates for R11 that are 10-20% slower than recommended in JPL-97 and rates for R12 that are faster by 40-100% for stratospheric temperatures and pressures. Figure 8 shows the result of incorporating these rates into the trajectory chemistry model for the April 26, 1997 flight. Both NO and NO<sub>2</sub> are increased by approximately 15-25% and are in better agreement than the model using JPL-97 rates for reactions R11-R13 above. Figure 9 plots the comparison of modeled NO<sub>x</sub>/NO<sub>y</sub> using Brown et al. and observed NO<sub>x</sub>/NO<sub>y</sub> for the entire mission. The solid black line through the data is the least squares fit. Comparing Figure 9 and the top panel of Figure 7, inclusion of Brown et al. [1999a, b] increases NOx by 25% and reduces the bias between

modeled  $NO_x/NO_y$  and observations by ~70%. More  $NO_x$  is available as a result of slower loss of  $NO_2$  from reaction R11 and faster production from R12. The agreement of modeled  $NO_x/NO_y$  in figure 9 is similar to the improved agreement seen in Gao et al. [1999].

Inclusion of the updated rate constants improves the agreement with observations but a 5-10% bias still exists. Instrumental uncertainties for NO, NO<sub>2</sub> and NO<sub>3</sub> [Gao et al., 1997] collectively are on the order of ±40% and so the bias is within experimental error. Other sources of error which may contribute to the bias include input albedo and O<sub>3</sub> column above the ER-2 which are key parameters in establishing the model photochemistry. Albedo influences the partitioning of NO<sub>x</sub> between NO and  $NO_2$  through  $J_{NO2}$  (refer to Figure 1) but sensitivity studies show that doubling the albedo results only in a 10% increase in model NO<sub>x</sub>. Based on the analysis of TOMS irradiances by Herman and Celarier [1997], the albedo would not be expected to be uncertain by a factor of two. CPFM measurements of overhead ozone have an uncertainty of ±3% [McElroy, 1995] and trajectory model sensitivity studies for POLARIS conditions show a 3% increase/decrease in the overhead column results in a 3% decrease/increase in NO, abundance. An evaluation of the NOx production and loss sensitivity to changes in chemical concentration, rate coefficients and radiative conditions sensitivities during POLARIS by Perkins et al. [1999] demonstrated that changing the overhead column by 10% or decreasing the OH + NO<sub>2</sub> rate constant another 10% would be necessary to reduce the NO<sub>x</sub> deficiency. It is pointed out, however, that changes to NO<sub>x</sub> and NO<sub>y</sub> concentration measurements or photolysis rates may also contribute, thus making it difficult to separate out a single source.

# Effects on HOx

The relationship between the  $NO_x$  catalytic cycle and the  $HO_x$  species implies that changes in  $NO_x$  with the use of the new rates constants should lead to changes in the  $HO_x$ . The  $HO_x$  production and loss processes are best represented by OH since the majority of the  $HO_x$  production and loss

processes involve the OH radical. HO<sub>x</sub> production through OH formation is primarily characterized by HNO<sub>3</sub> photolysis and reaction of H<sub>2</sub>O with O(<sup>1</sup>D) followed by smaller contributions from HNO<sub>4</sub> and CH<sub>2</sub>O photolysis at lower solar zenith angles [Hanisco et al., 1999] and contributions from HOBr photolysis at higher solar zenith angles [Wennberg et al., 1999]. The reactions of OH with NO<sub>2</sub> OH with HNO<sub>3</sub> and reaction of HO<sub>2</sub> with NO<sub>2</sub> represent the primary HO<sub>x</sub> loss processes [Wennberg et al., 1990, Hanisco et al., 1999].

Use of the Brown et al. rates will affect  $HO_x$  directly by the decrease in the  $k_{OH+NO2}$  and increase in the  $k_{OH+HNO3}$  reaction rates. The reaction of  $OH + NO_2$  is roughly two times as significant as  $OH + HNO_3$  in the loss of  $HO_x$ . Since  $k_{OH+HNO3}$  increases by twice the amount that  $k_{OH+NO2}$  decreases, the rates roughly cancel.  $HO_x$  loss rates are affected indirectly by the increased  $NO_2$  and decreased  $HNO_3$  that result from using the new rate constants. The fractional change in  $NO_2$  is large and tends to dominate over the small fractional decrease in  $HNO_3$  so that the net effect is an increase in the  $HO_x$  loss rates. Since the  $OH + NO_2$  reaction makes up about half the total loss rate, a 20% increase in  $NO_2$  using the Brown rate constants results in a 10% decrease in OH relative to JPL-97 rates.  $HO_2$  concentrations respond to the changes in the  $HO_x$  loss rates but also to changes in the  $OH/HO_2$  partitioning. Increased  $HO_x$  loss rates result in lower  $HO_2$  because there is less OH to convert to  $HO_2$  and increased  $NO_x$  results in lower  $HO_2$  because  $HO_2$  is converted into OH more quickly.

The effects of trajectory chemistry and the use of the updated rate constants of Brown et al. [1999a, b] on OH, HO<sub>2</sub> and OH/HO<sub>2</sub> can be seen in the statistical results for three model runs presented in Table 1. With the recommended JPL-97 rates, trajectory chemistry reduces the variability of OH by about 20% from 0.051 to 0.040 in the standard deviation about the fit as a result of the reduction in the variability of HNO<sub>3</sub> and NO<sub>2</sub> (see Figure 7). Using trajectory chemistry with the

Brown rate constants, the modeled OH is in lesser agreement than using the JPL-97 rates (-0.222 vs. -0.300) despite the fact that NO<sub>x</sub>/NO<sub>y</sub> is in better agreement with the observations (see Figure 9). This suggests that there is still a missing source or overestimation of a sink in the model's HO<sub>x</sub> production and loss, consistent with Wennberg et al. [1999] who demonstrated underestimates of HO<sub>x</sub> but at higher solar zenith angles. Regardless of solar zenith angle, there is an underestimate of HO<sub>x</sub>.

OH and HO<sub>2</sub> interconvert more rapidly than HO<sub>x</sub> production and loss processes so that OH and HO<sub>2</sub> are in photochemical steady state [Wennberg, 1990]. Cohen et al. [1994] demonstrated that the OH/HO<sub>2</sub> ratio is well defined by the steady state equation

$$[OH]/[HO2] = k7[NO] + k4[O3] + k8a[ClO] + k8b[BrO]$$
(1)  
$$k3[O3] + k10[CO]$$

where k3, k4, k7, k8, k10 are the rate constants from reactions R3, R4, R7, R8 and R10. For the high latitude summer stratosphere, Lanzendorf et al. [1999] show that equation 1 simplifies to

$$[OH]/[HO_2] = k7[NO] + k4[O_3]$$
 (2)  
 $k3[O_3]$ 

Using the rates of Brown et al., the difference between the modeled and measured OH/HO<sub>2</sub> decreases (-0.211 to -0.139). Since the updated rate constants increase NO<sub>x</sub>, the OH/HO<sub>2</sub> ratio increases also since it is governed only by the abundance of NO as ozone remains constant to first order.

The effects of trajectory chemistry and inclusion of the Brown rate constants on  $HO_2$  are more dramatic than those seen with OH. Using the JPL-97 rates, the average value of modeled  $HO_2$  is very close to the observed  $HO_2$  and not very different in going from point chemistry to trajectory chemistry (0.005 vs -0.006). However, trajectory chemistry reduces the modeled  $HO_2$  variability more than it reduces the OH variability (0.61-0.41/0.61 33% vs 0.051-0.040/0.51 22%). Trajectory chemistry has more of an effect since  $HO_2$  abundances are primarily controlled by  $NO_x$  through the rapid

repartitioning reaction of  $HO_2 + NO \rightarrow OH + NO_2$ . As the  $NO_x$  variability improves, the  $HO_2$  variability improves as well. Replacing the JPL-97 rate constants with the updated rate constants of Brown et al. worsens the agreement between modeled and observed  $HO_2$  (-0.006 to -0.185). The change in the modeled  $HO_2$  with the trajectory chemistry using the Brown rate constants is greater than the change in the modeled OH (-0.222 to -0.300 vs -0.006 to -0.185) since the Brown chemistry affects  $HO_x$  sources and sources as well as the partitioning between OH and  $HO_2$ .

The sensitivity of HO<sub>x</sub> to changes in model parameters is different than the sensitivity of NO<sub>x</sub>. While albedo is important in partitioning NO<sub>x</sub> into NO and NO<sub>2</sub> as shown by Schwartz et al [1999], sensitivity studies show that tripling the albedo from 0.2 to 0.6 only results in a 15% decrease in the HO<sub>x</sub> species. As discussed earlier, uncertainties in the albedo are unlikely to be of this magnitude and should not contribute to the modeled to observed HO<sub>x</sub> discrepancy. Sensitivity studies of CPFM measurements of overhead ozone show that a 10-15% increase/decrease in the overhead column results in a 10-15% decrease/increase in HO<sub>x</sub> since solar flux is important in the key HO<sub>x</sub> production processes involving the formation of O(<sup>1</sup>D) from ozone [Schwartz et al., 1999] for reaction with H<sub>2</sub>O and CH<sub>4</sub> and photolysis of HNO<sub>3</sub>, and HNO<sub>4</sub>. It is important to note that due to the coupling between the HO<sub>x</sub> and NO<sub>x</sub> families, potential errors in HO<sub>x</sub> can affect NO<sub>x</sub> production and loss as well. NO<sub>x</sub> production is primarily characterized by HNO<sub>3</sub> photolysis and reaction with OH and NO<sub>x</sub> loss by the reaction of NO<sub>2</sub> with OH and BrONO<sub>2</sub> hydrolysis. Sensitivity studies by Perkins et al. [1999] have shown that a 10% increase/decrease in the OH concentration decreases/increases the production to loss ratio by 5%. Overall, modeled HO<sub>x</sub> agreement with observations is within the measurement uncertainties using the Brown rate constants.

# Conclusion

The POLARIS mission was designed to study chemical ozone loss processes which are accelerated as a result of increased solar illumination at summer high latitudes. NO<sub>x</sub> is primarily responsible for this stratospheric loss from late spring to early fall at high latitudes. Two useful tools for analyzing the radical abundances observed during POLARIS are the steady state and trajectory chemistry models. The trajectory chemistry model, initialized from observations and run in the fixed point mode agrees well with a steady state model, establishing a consistent basis from which we can begin to separate out the effects from trajectories and systematic biases in the photochemistry. An investigation of all mission flights revealed that as a whole POLARIS data could be adequately characterized by steady state but that trajectory history influences radical abundances significantly in several flights. The point chemistry model produces too much NO<sub>x</sub> as a result of longer, more consistent sun exposure than the shorter, more variable sun exposures encountered when trajectory history is taken into account. A comparison of the trajectory and point chemistry model results with the observations demonstrates that using the trajectory model reduces the variability in the NO<sub>x</sub> model results and is valuable in constraining relationships among the radical species. However, the trajectory chemistry model results consistently show a NO<sub>x</sub> deficiency representing a systematic bias in the photochemistry as in other steady state model results. The variability of HO<sub>2</sub> (OH and HO<sub>2</sub>) is reduced with trajectory chemistry because of the reduced variability in NO<sub>x</sub> and HNO<sub>3</sub> but OH is systematically low compared to observations by 20%. The NO<sub>x</sub> bias must be accounted for to properly assess the HO<sub>x</sub> chemistry in the model.

To address the systematic bias in  $NO_x$ , we included the new rate constants of Brown et al. [1999a, b] for OH +  $NO_2$  and OH +  $HNO_3$  in the trajectory chemistry model. Use of these rate constants improves the agreement of modeled  $NO_x$  with observations to within the uncertainties of the measurements ( $\pm$  40%) but a small bias (5-10%) still exists. The OH/HO<sub>2</sub> ratio agreement with

observations improves with the new rate constants since the ratio is explicitly dependent on NO. Inclusion of Brown et al. rates increases the model offset of OH and  $HO_2$  individually relative to observations, consistent with the underestimate in  $HO_x$  seen by Wennberg et al [1999] and linked to a missing source in the current photochemistry used in models. The offsets of OH,  $HO_2$  and  $OH/HO_2$ , however, are as a whole still within the 2-sigma measurement uncertainties of 25, 30, and 14%, respectively.

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# **Figure Captions**

Figure 1. Schematic of the main reaction pathways for NO<sub>y</sub> species in the lower stratosphere.

Figure 2. (a) Timeseries plot of NO, NO<sub>2</sub> and NO<sub>x</sub> /NO<sub>y</sub> for the flight of July 7, 1997. (b) Timeseries plot of OH, HO<sub>2</sub>, OH/HO<sub>2</sub> for the flight of July 7, 1997. Latitude covered during flight is noted on top y-axis. Solid line denotes observations, dash-dot line represents the model results from the photochemical steady state model of Salawitch (1994a) using JPL-97 rates and the dotted line represents the model results from the Goddard trajectory model run in the fixed point mode using JPL-97 rates.

Figure 3. (a) Scatter plot of GSFC point chemistry NO<sub>x</sub>/NO<sub>y</sub> model results versus JPL photochemical steady state model results for all mission flights. Data below 90 hPa excluded. Specific flights denoted in legend with the date in year, month day format (YYMMDD). Solid black line represents 1:1 line and solid red line denotes linear least squares fit to the data. (b) Scatter plot of trajectory chemistry versus point chemistry NO<sub>x</sub>/NO<sub>y</sub> using JPL-97 for all mission flights. Solid black line represents 1:1 line and solid red line denotes linear least squares fit to the data.

Figure 4. Timeseries plot of NO, NO<sub>2</sub> and NO<sub>x</sub> /NO<sub>y</sub> for the flight of 970426. Latitude covered during flight is noted on top y-axis. Small black dots denote observations, dotted line represents the model results from the Goddard trajectory chemistry model run at a fixed point (point chemistry) using JPL-97 rates and the dash-dot line represents the model results from the Goddard trajectory model using JPL-97 rates. The position in the time series of parcel 26 is denoted by the arrow P26 at 70500 UT sec.

Figure 5. Back trajectory plot for points along the ER-2 flight track on the outbound leg from Fairbanks, AK (parcel 0) to the pole (parcel 30). Arrow heads mark trajectory positions every 24 hours at 00z.

Figure 6. Comparison between the trajectory and point chemistry model history of (a) solar zenith angle, (b) NO<sub>v</sub> species and (c) HNO<sub>3</sub> for parcel 26 marked in Figure 4.

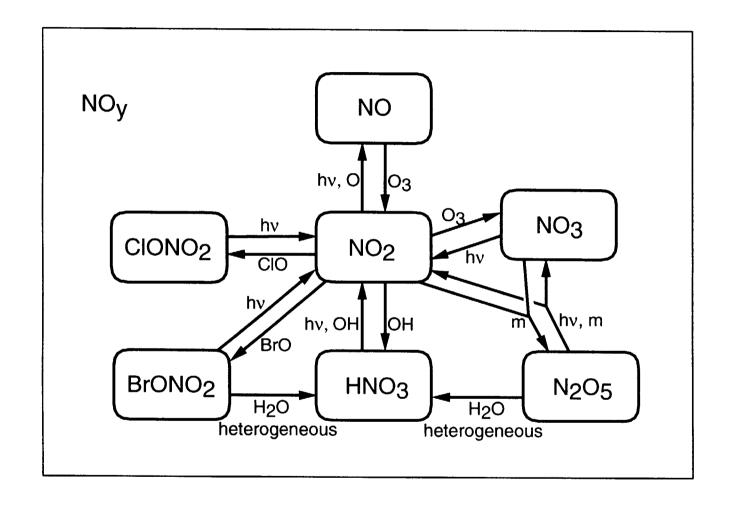
Figure 7. Scatter plot of modeled NO<sub>x</sub>/NO<sub>y</sub> using trajectory chemistry with JPL-97 rates and point chemistry with JPL-97 rates versus measured NO<sub>x</sub>/NO<sub>y</sub> for the POLARIS mission. The legend of Figure 3 describes the figures for each flight. Solid black line represents the 1:1 line and solid red line represents the linear least squares fit to the data.

Figure 8. Timeseries plot of NO, NO<sub>2</sub> and NO<sub>x</sub>/NO<sub>y</sub> for the flight of 970426. Latitude covered during flight is noted on top y-axis. Small black dots denote observations, dash-dot line represents the model results from the Goddard trajectory model using JPL-97 rates and the dotted line represents the results from the Goddard trajectory chemistry model with the rates of Brown et al. (1999a, b).

Figure 9. Scatter plot of modeled NO<sub>x</sub>/NO<sub>y</sub> using trajectory chemistry with Brown et al. rates (1999a, b) versus measured NO<sub>x</sub>/NO<sub>y</sub> for the POLARIS mission. Solid black line through the entire plot represents the 1:1 line and solid line through the data represents the linear least squares fit to the data.

Table 1. Statistics of modeled OH,  $HO_2$ , and  $OH/HO_2$  using point and trajectory chemistry with JPL-97 and Brown 1999 rates versus observed OH,  $HO_2$  and  $OH/HO_2$ .

	Point JPL-97	Traj JPL-97	Traj Brown 99
ОН			
Avg % diff (Mod-obs/obs)	-0.220	-0.222	-0.300
Slope	0.842	0.831	0.762
Y-int	0.024	0.019	0.025
Sdev about fit	0.051	0.040	0.040
Sdev about 1:1	0.135	0.134	0.182
HO2			
Avg % diff (Mod-obs/obs)	0.005	-0.006	-0.185
Slope	0.980	0.978	0.852
Y-int	0.097	0.063	0.087
Sdev about fit	0.612	0.417	0.348
Sdev about 1:1	0.615	0.420	0.716
ОН/НО2			
Avg % diff (Mod-obs/obs)	-0.213	-0.211	-0.139
Slope	0.703	0.679	0.824
Y-int	0.013	0.017	0.006
Sdev about fit	0.014	0.013	0.016
Sdev about 1:1	0.046	0.046	0.032



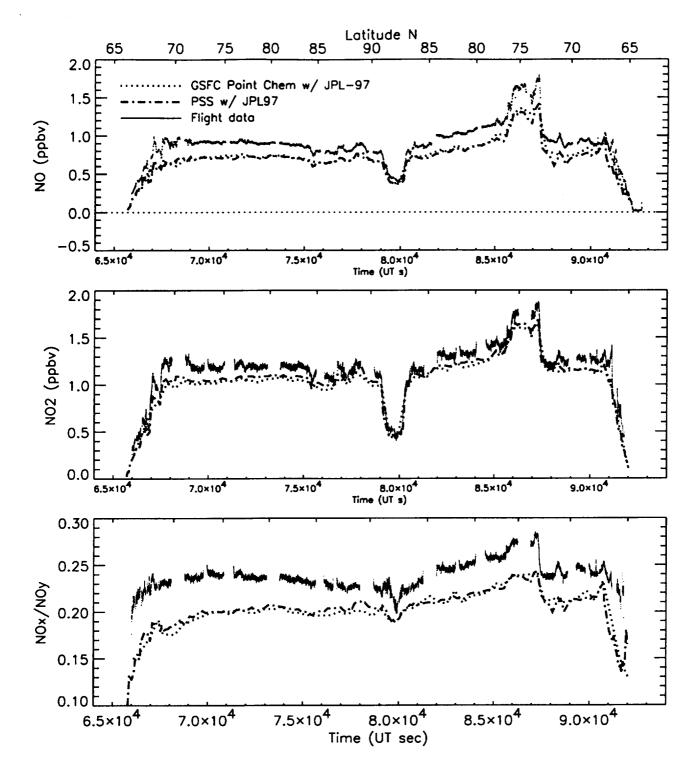


Figure 2a

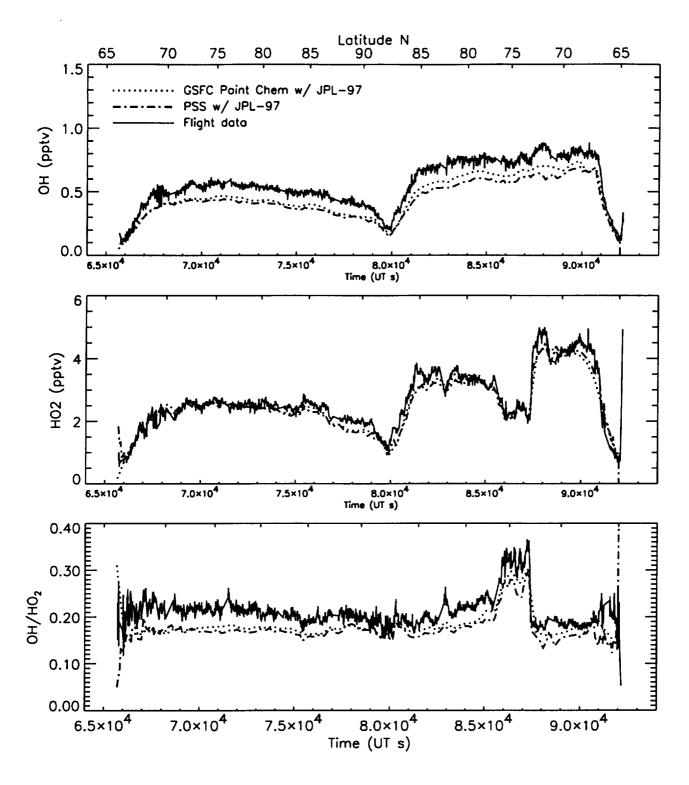


Figure 2b

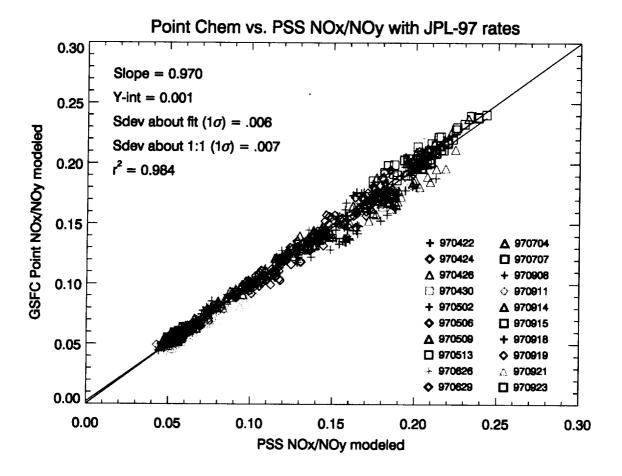


Figure 3a

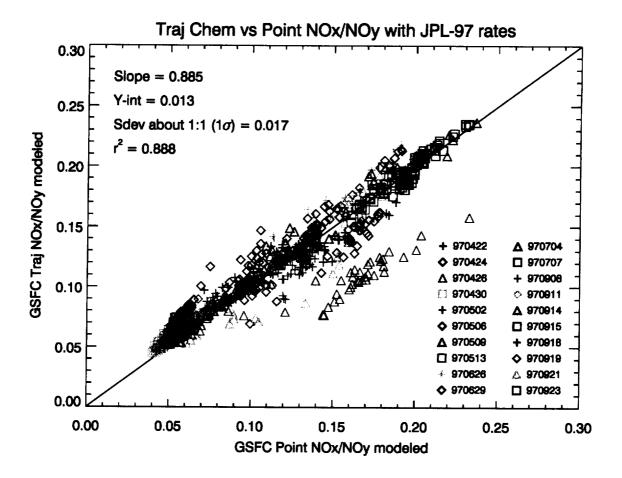


Figure 3b

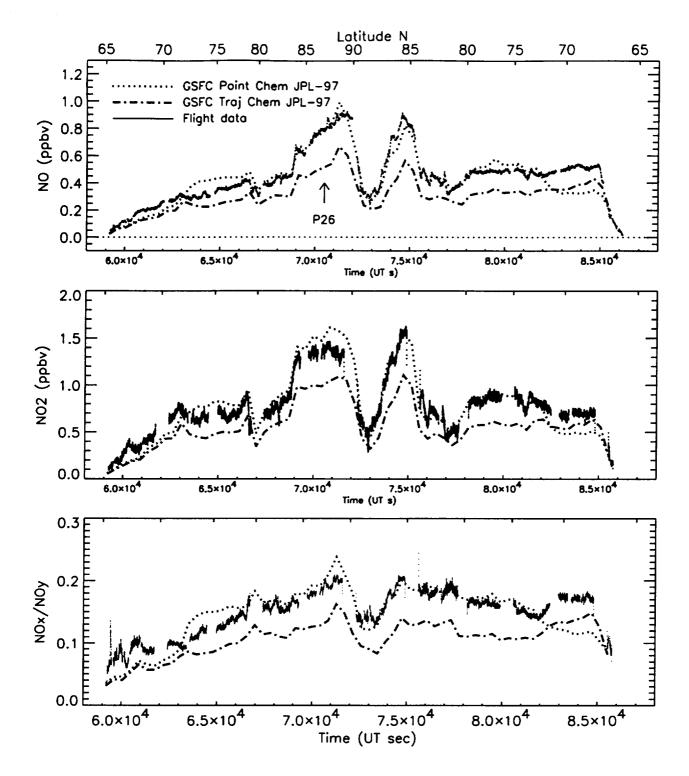


Figure 4

# 10-Day Back Trajectories for 970426

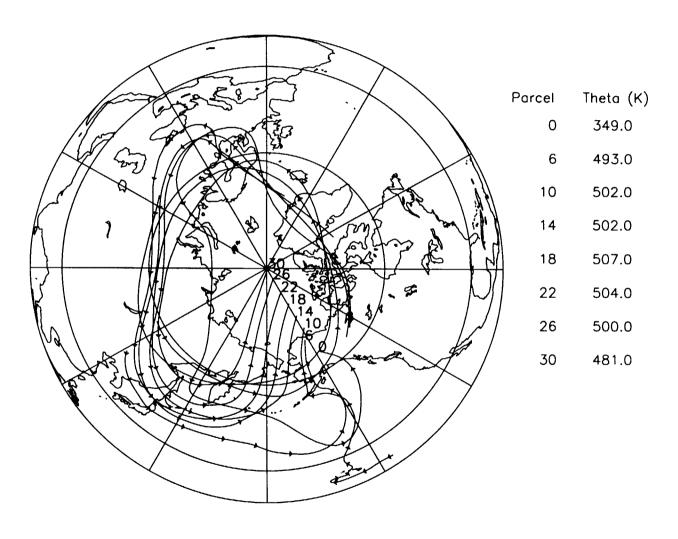
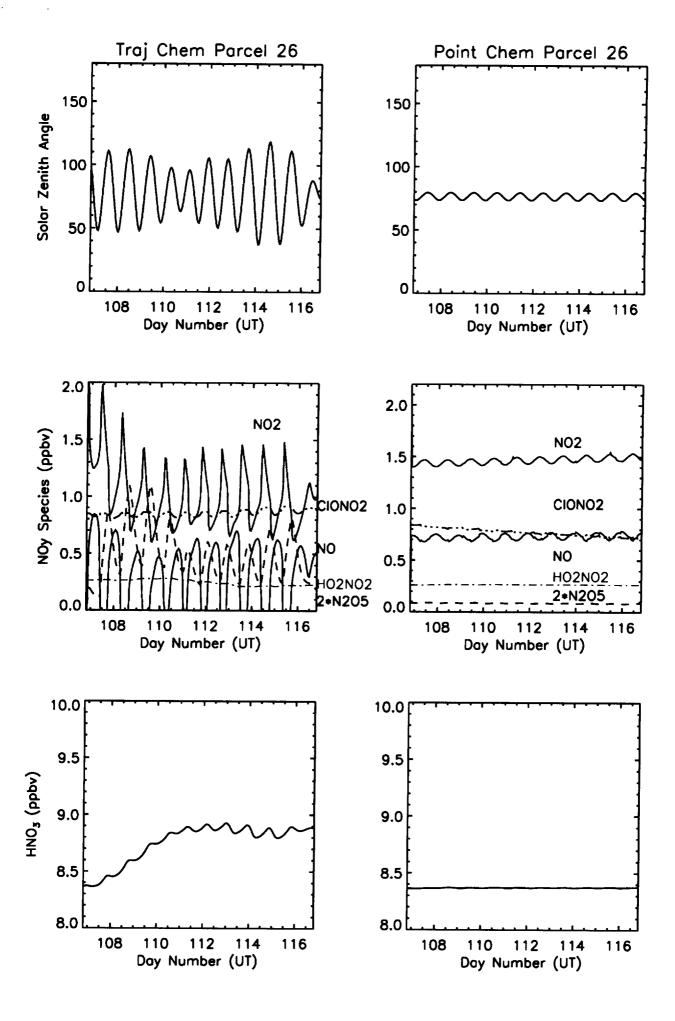
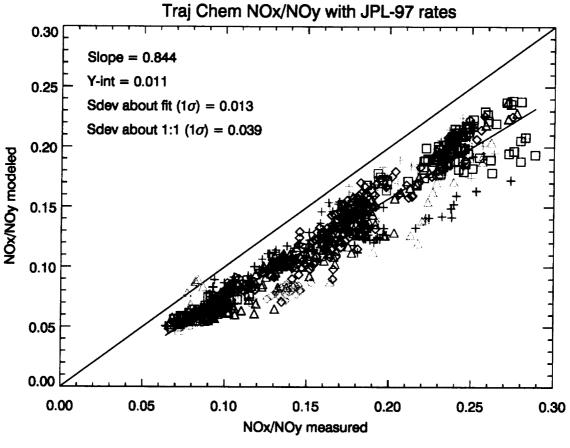
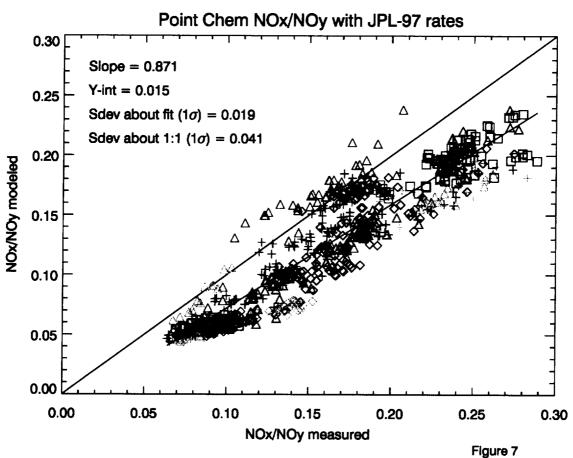


Figure 5







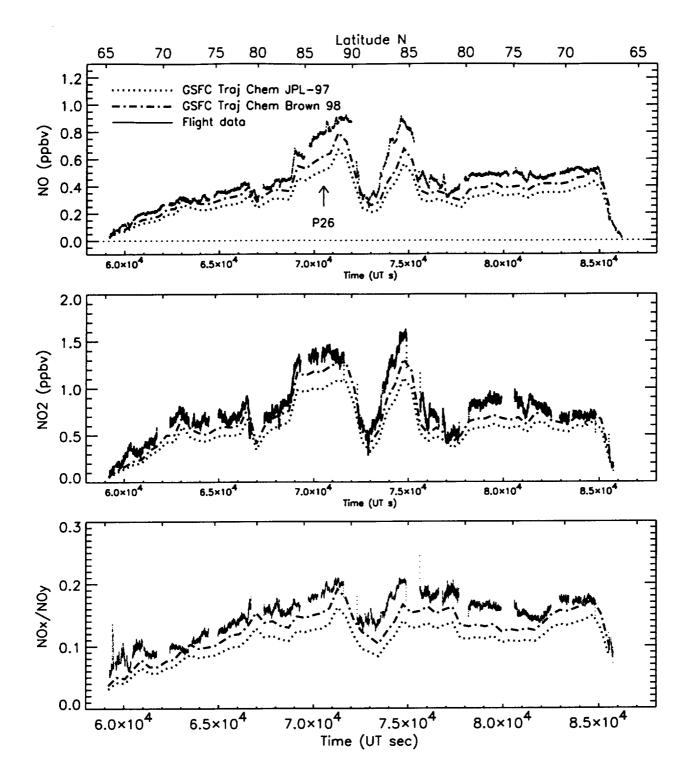


Figure 8

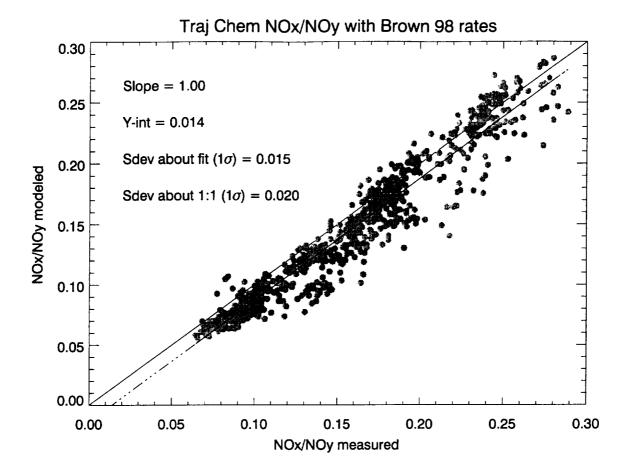


Figure 9